



## A role for 5-HT1A receptors in the basolateral amygdala in the development of conditioned defeat in Syrian hamsters

Kathleen E. Morrison\*, Matthew A. Cooper

Department of Psychology, University of Tennessee, Knoxville, TN, 37996, USA

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### ABSTRACT

The basolateral nucleus of the amygdala (BLA) is a key brain region regulating behavioral changes following stressful events, including social defeat. Previous research has shown that activation of serotonin (5-HT) 1A receptors in the BLA reduces conditioned fear and anxiety-like behavior. The objective of this study was to test whether 5-HT1A receptors in the BLA contribute to conditioned defeat in male Syrian hamsters (*Mesocricetus auratus*). We tested whether injection of the selective 5-HT1A receptor agonist flesinoxan (400 ng, 800 ng, or 1200 ng in 200 nl saline) into the BLA prior to social defeat would reduce the acquisition of conditioned defeat, and whether a similar injection prior to testing would reduce the expression of conditioned defeat. We also tested whether injection of the selective 5-HT1A receptor antagonist WAY-100635 (400 ng or 1600 ng in 200 nl saline) into the BLA prior to social defeat would enhance the acquisition of conditioned defeat, and whether a similar injection prior to testing would enhance the expression of conditioned defeat. We found that injection of flesinoxan into the BLA decreased both the acquisition and expression of conditioned defeat. However, injection of WAY-100635 into the BLA did not alter the acquisition or expression of conditioned defeat. These data indicate that pharmacological activation of 5-HT1A receptors in the BLA is sufficient to impair the acquisition and expression of conditioned defeat. Our results suggest that pharmacological treatments that activate 5-HT1A receptors in the BLA are capable of reducing the development of stress-induced changes in behavior.

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### 1. Introduction

In Westernized societies, psychosocial stressors are more commonly experienced than physical stressors and are a contributing factor in the onset of psychiatric disorders such as depression (Heim and Nemeroff, 2001; Kendler et al., 1999) and post-traumatic stress disorder (Kuo et al., 2003; Risbrough and Stein, 2006; Vermetten and Bremner, 2002). In animal models, psychosocial stressors such as social defeat produce robust activation of the HPA axis (Blanchard et al., 1995; Huhman et al., 1992; Koolhaas et al., 1997). Social defeat also leads to marked behavioral changes including increased depression- and anxiety-like behavior (Berton et al., 1998; Frischknecht et al., 1982; Heinrichs et al., 1992; Keeney et al., 2006; Krishnan et al., 2007). In this study, we use a social defeat model in Syrian hamsters called conditioned defeat, in which a single social defeat results in a loss of normal territorial aggression and an increase in submissive and defensive behavior in later non-aggressive social encounters. Acute social defeat paradigms such as conditioned defeat are valuable partly because they provide an ethologically relevant model for

investigating the neural mechanisms underlying stress-induced changes in behavior.

The basolateral complex of the amygdala (BLA) is a critical neural structure underlying both conditioned defeat and conditioned fear. Pharmacological blockade of NMDA receptors in the BLA blocks the acquisition of fear-potentiated startle (Campeau et al., 1992; Gerwitz and Davis, 1997), conditioned freezing (Fanselow and Kim, 1994), and conditioned defeat (Jasnow et al., 2004). Also, the NR2B subunit of the NMDA receptor in the BLA plays a critical role in the neural signaling that underlies the acquisition of conditioned fear and conditioned defeat (Day et al., 2011; Rodrigues et al., 2001; Tang et al., 1999). Over-expression of CREB in the BLA using viral vector-mediated gene transfer enhances the acquisition of both fear-potentiated startle (Josselyn et al., 2001) and conditioned defeat (Jasnow et al., 2005). Finally, blocking protein synthesis in the BLA with anisomycin impairs the acquisition of conditioned freezing (Schafe and LeDoux, 2000) and conditioned defeat (Markham et al., 2010; Markham and Huhman, 2008). In sum, these data suggest that the neurochemical signals in the BLA that regulate the formation of conditioned defeat are similar to those that regulate the formation of conditioned fear.

One important difference between conditioned fear and conditioned defeat appears to be the role of serotonin (5-HT). The 5-HT system plays a key role in the etiology and treatment of stress-related mental illness (Harvey et al., 2004; Vieweg et al., 2006). The 5-HT1A

\* Corresponding author at: Department of Psychology, Austin Peay Building, University of Tennessee, Knoxville, TN 37996-0900, USA. Tel.: +1 865 974 3412; fax: +1 865 974 3330.

E-mail address: [kmcinty1@utk.edu](mailto:kmcinty1@utk.edu) (K.E. Morrison).

receptor is also an important factor in the psychopathology underlying stress-related mental illness, and some novel pharmacological treatments for affective disorders target the 5-HT<sub>1A</sub> receptor (Dawson and Watson, 2009; Savitz et al., 2009). The 5-HT<sub>1A</sub> receptor can be expressed as a somatodendritic autoreceptor in the dorsal raphe nucleus (DRN) or as a postsynaptic heteroreceptor in the forebrain. In both cases, the 5-HT<sub>1A</sub> receptor produces hyperpolarization (Barnes and Sharp, 1999; Hoyer et al., 2002). Although early studies indicated that 5-HT<sub>1A</sub> receptors did not play an important role in fear-potentiated startle (Davis et al., 1988; Melia and Davis, 1991), later research found that administration of a 5-HT<sub>1A</sub> receptor partial agonist reduced the expression of fear-potentiated startle (Risbrough et al., 2003). More recently studies have suggested that 5-HT signaling in the hippocampus and amygdala modulates conditioned fear (Almada et al., 2009; Li et al., 2006). Activation of 5-HT<sub>1A</sub> postsynaptic receptors in the dorsal hippocampus (Li et al., 2006; Stiedl et al., 2000), dorsal periaqueductal gray (Broiz et al., 2008), and amygdala (Li et al., 2006) reduces the expression of fear-conditioned behaviors. Although these studies indicate that 5-HT<sub>1A</sub> receptors modulate the expression of conditioned fear, little research is available on whether 5-HT<sub>1A</sub> receptors modulate the formation of conditioned fear. It is noteworthy that injection of a 5-HT<sub>1A</sub> receptor agonist into the dorsal hippocampus prior to training was shown to impair fear conditioning, indicating that activation of 5-HT<sub>1A</sub> receptors in the hippocampus is sufficient to disrupt the formation of fear memories (Stiedl et al., 2000). Recently, we have shown that pharmacological activation of 5-HT<sub>1A</sub> autoreceptors in the DRN disrupts both the acquisition and expression of conditioned defeat (Cooper et al., 2008). This supports the idea that 5-HT signaling in the forebrain can modulate conditioned defeat. Thus, the conditioned defeat model provides a rare opportunity to investigate whether 5-HT<sub>1A</sub> receptor signaling in the BLA modulates the formation of memories for aversive events.

The goal of the current study was to determine whether 5-HT<sub>1A</sub> receptors are part of the neural circuitry in the BLA controlling the acquisition and expression of conditioned defeat. We hypothesized that injection of a 5-HT<sub>1A</sub> receptor agonist into the BLA would decrease both the acquisition and expression of conditioned defeat and that injection of a 5-HT<sub>1A</sub> receptor antagonist into the BLA would facilitate the acquisition and expression of conditioned defeat.

## 2. Materials and methods

### 2.1. Subjects

We used male Syrian hamsters (*Mesocricetus auratus*) that weighed 120–140 g (3–4 months) at the start of the study. Older hamsters (160–180 g, >6 months) were individually housed and used as resident aggressors (RAs) for social defeat training. Younger hamsters (90–100 g, approximately 2 months) were group-housed (4 per cage) and used as non-aggressive intruders for conditioned defeat testing. All animals were purchased from Charles River Laboratories and were housed in polycarbonate cages (12 cm × 27 cm × 16 cm) with corncob bedding, cotton nesting materials, and wire mesh tops. Food and water were available *ad libitum*. Cages were not changed for one week prior to training to allow individuals to scent mark their territory. Animals were housed in a temperature controlled room (21 ± 2 °C) and kept on a 14:10 h light:dark cycle. All procedures were approved by the UT Institutional Animal Care and Use Committee and follow the National Institutes of Health Guide for the Care and Use of Laboratory Animals.

### 2.2. Stereotaxic surgery

Hamsters were anesthetized with isoflurane and stereotaxically implanted bilaterally with 26-gauge guide cannulae aimed at the BLA. The stereotaxic coordinates were 0.4 mm posterior and 3.9 mm lateral to bregma, and 2.2 mm below dura. During microinjection, a

33-gauge injection needle was inserted that projected 4.0 mm below the guide cannula for a final projection of 6.2 mm below dura. After surgery, dummy stylets that projected 0.1 mm below the guide cannulae were inserted into the cannulae to maintain patency. Animals were given 10–14 days to recover from surgery before behavioral experiments and were handled daily.

### 2.3. Conditioned defeat protocol

#### 2.3.1. Social defeat training

Social defeat training consisted of a single aggressive encounter in the cage of a RA. In Experiments 1 and 2 we expected flesinoxan to decrease conditioned defeat behavior and subjects received a 15 min social defeat to avoid a floor effect on later submissive/defensive behavior at testing. In Experiments 3 and 4 we expected WAY-100635 to increase conditioned defeat behavior and subjects received a suboptimal 5 min social defeat to avoid a ceiling effect on later submissive/defensive behavior at testing. When drugs were given prior to social defeat, defeats were digitally recorded and the behavior of the RA was quantified later using Noldus Observer (Noldus Information Technology, Wageningen, Netherlands). We quantified the latency to first attack, total number of attacks, and total duration of aggression displayed. Any animal injured such that it bled was treated and removed from the study (1 animal total, 0.4% of subjects). To evaluate whether drugs altered behavior at testing in the absence of social defeat, we included no defeat control groups. No defeat control animals were placed in the dirty, empty cage of a RA for 5 or 15 min so that they experienced the same olfactory cues and novel environment as the defeated animals.

#### 2.3.2. Behavioral testing

Behavioral testing occurred 24 h after social defeat training and consisted of a 5 min social interaction test, during which a non-aggressive intruder was placed in the subject's cage. Non-aggressive intruders are younger, group-housed animals that display social and nonsocial behavior, and at testing we excluded those intruders that displayed agonistic behavior. All testing sessions were digitally recorded and the behavior of the subject was quantified using Noldus Observer. We quantified the total duration of the following categories of behavior: submissive/defensive (flee, avoid, upright and side defensive postures, tail-up, stretch-attend, head flag); aggressive (chase, attack including bite, upright and side offensive postures); social (nose touching, sniff, approach); and nonsocial (locomotion, grooming, nesting, feeding) (Albers et al., 2002). We also quantified the frequency of flees, stretch-attend postures, and attacks. All video scoring was done by a single researcher blind to experimental conditions. On a subset of videos, inter-rater reliability in submissive/defensive behavior was >90%.

### 2.4. Drugs

Flesinoxan-hydrochloride (courtesy of Solvay Pharmaceuticals, now part of Abbot Laboratories) was dissolved in sterile saline (pH = 6.1), which was used as a vehicle control at a similar pH. Flesinoxan precipitates at physiological pH and is commonly used at pH ranging from 4.2 to 5.5 (Compaan et al., 1997; Cooper et al., 2008; Sibug et al., 2000; Sporton et al., 1991). Flesinoxan is a highly selective 5-HT<sub>1A</sub> receptor agonist (Schoeffer and Hoyer, 1988). WAY-100635 (Sigma Aldrich) was also dissolved in sterile saline (pH = 7.4). WAY-100635 is a highly selective antagonist for the 5-HT<sub>1A</sub> receptor (Mos et al., 1997). Flesinoxan, WAY-100635, and saline vehicle were injected at volumes of 200 nl per side.

### 2.5. Experiments 1 and 2: 5-HT<sub>1A</sub> receptor agonist and conditioned defeat

We designed Experiment 1 to test whether injection of a 5-HT<sub>1A</sub> receptor agonist into the BLA prior to social defeat would decrease

the acquisition of conditioned defeat. We bilaterally infused flesinoxan (400 ng,  $N=8$ ; 800 ng,  $N=11$ ; or 1200 ng,  $N=10$ ) or vehicle ( $N=11$ ) into the BLA 10 min prior to a 15 min social defeat. For no defeat control subjects, we bilaterally infused flesinoxan (1200 ng,  $N=9$ ) or vehicle ( $N=10$ ) into the BLA 10 min prior to a 15 min exposure to an empty RA cage. The doses of flesinoxan used here are based on doses that were effective in the hamster DRN (Cooper et al., 2008). For drug injection, a 1  $\mu$ l syringe (Harvard Instruments) was connected to an injection needle via PE-20 polyethylene tubing. Injections took place over a 1 min period using a Harvard Syringe Pump (Harvard Instruments), and needles were left in place for 1 min after the infusion to allow drug diffusion. Any animal that did not receive successful bilateral injections was excluded from data analysis. Subjects were tested for conditioned defeat 24 h following social defeat.

Experiment 2 was designed to test whether injection of a 5-HT<sub>1A</sub> receptor agonist into the BLA prior to behavioral testing would decrease the expression of conditioned defeat. Subjects received a 15 min social defeat or empty RA cage exposure. Twenty-four hours later, we bilaterally infused flesinoxan (400 ng,  $N=12$ ; 800 ng,  $N=10$ ; or 1200 ng,  $N=11$ ) or vehicle ( $N=11$ ) into the BLA 10 min prior to behavioral testing. For no defeat control subjects, we bilaterally infused flesinoxan (1200 ng,  $N=7$ ) or vehicle ( $N=9$ ) into the BLA 10 min prior to behavioral testing.

### 2.6. Experiments 3 and 4: 5-HT<sub>1A</sub> receptor antagonist and conditioned defeat

In Experiment 3, we tested whether injection of a 5-HT<sub>1A</sub> receptor antagonist into the BLA prior to social defeat would increase the acquisition of conditioned defeat. We bilaterally infused WAY-100635 (400 ng,  $N=10$  or 1600 ng,  $N=11$ ) or vehicle ( $N=10$ ) into the BLA 10 min prior to a 5 min social defeat. For no defeat control subjects, we bilaterally infused WAY-100635 (400 ng,  $N=12$ ) or vehicle ( $N=10$ ) into the BLA 10 min prior to a 5 min empty RA cage exposure. We selected these doses of WAY-100635 on the basis of previous research in which we injected WAY-100635 into the DRN (Cooper et al., 2008). Twenty-four hours after social defeat, we tested subjects for conditioned defeat.

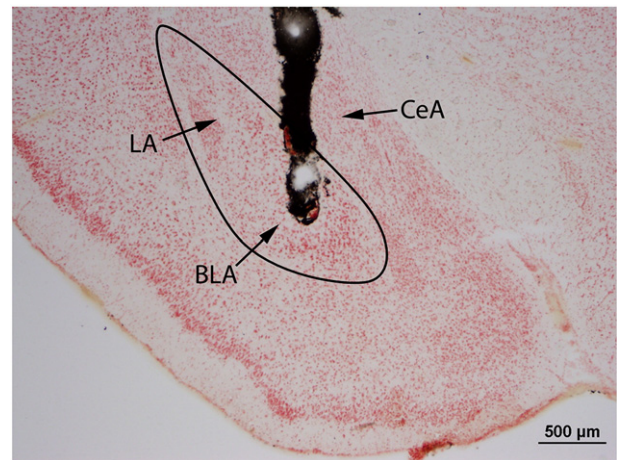
In Experiment 4, we tested whether injection of a 5-HT<sub>1A</sub> receptor antagonist into the BLA prior to behavioral testing would increase the expression of conditioned defeat. Subjects received a 5 min social defeat or empty cage exposure. Twenty-four hours later, we bilaterally infused WAY-100635 (400 ng,  $N=10$  or 1600 ng,  $N=10$ ) or vehicle ( $N=10$ ) into the BLA 10 min prior to behavioral testing. For no defeat control subjects, we bilaterally infused WAY-100635 (400 ng,  $N=11$ ) or vehicle ( $N=13$ ) into the BLA 10 min prior to testing.

### 2.7. Histology

Immediately following testing, animals were given a lethal cocktail of 93% sodium pentobarbital and 7% isopropyl alcohol (Sleep Away, Webster Veterinary) and infused with 200 nl of India ink into the BLA. Brains were removed, frozen on dry ice, and stored at  $-80^{\circ}\text{C}$ . Brains were sliced at 30  $\mu\text{m}$  on a cryostat, and sections were stained with neutral red and coverslipped. Sections were examined under a light microscope for evidence of ink in the BLA (Fig. 1). Subjects with bilateral injection sites within 100  $\mu\text{m}$  of the BLA were included in analysis (Fig. 2). Subjects with bilateral injection sites  $>300\ \mu\text{m}$  from the BLA were analyzed as anatomical controls. We excluded subjects with a unilateral injection site  $>100\ \mu\text{m}$  from the BLA and one subject with bilateral injection sites that were on the border of the BLA (100–300  $\mu\text{m}$ ).

### 2.8. Data analysis

Conditioned defeat data were analyzed using a 2-way between subjects analysis of variance (ANOVA) with one factor as defeat



**Fig. 1.** A representative photomicrograph is shown of a hamster coronal brain section injected with India ink and stained with neutral red. The injection site is clearly visible within the BLA. The basolateral complex (BLA and LA) is roughly outlined. BLA – basolateral amygdala, LA – lateral amygdala, CeA – central amygdala.

experience (defeat or no defeat control) and the second factor as dose of drug. The duration of submissive/defensive, aggressive, social, and nonsocial behaviors were used as dependent variables. Agonistic behavior of the RAs during social defeat was analyzed using 1-way ANOVAs. Statistically significant differences found in the 2-way ANOVA were further analyzed using either a 1-way ANOVA for defeated subjects with Tukey's post hoc tests or an independent sample *t*-test for no defeat controls. All statistical tests were two-tailed, and the  $\alpha$  level was set at  $p \leq 0.05$ .

## 3. Results

### 3.1. Experiment 1: 5-HT<sub>1A</sub> receptor agonist infused into the BLA at acquisition

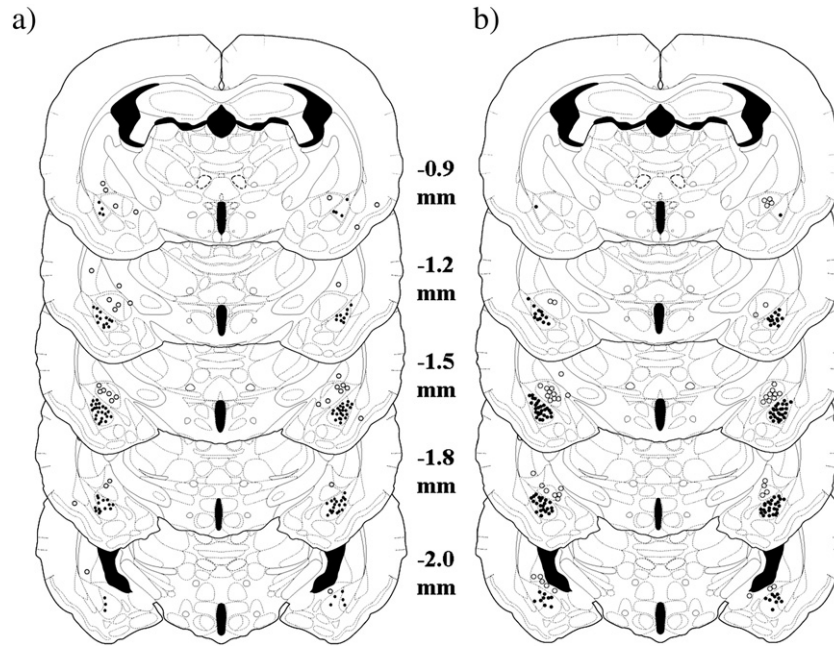
The injection of flesinoxan into the BLA prior to social defeat reduced the acquisition of conditioned defeat (Fig. 3). We found a significant main effect of defeat experience ( $F_{(1,53)} = 14.38, p < 0.001$ ) and a significant defeat experience  $\times$  dose of flesinoxan interaction ( $F_{(1,53)} = 4.23, p = 0.045$ ) on the total duration of submissive/defensive behavior displayed at testing. Specifically, defeated individuals injected with 1200 ng of flesinoxan displayed a lower duration of submissive/defensive behavior at testing when compared to defeated vehicle controls ( $F_{(3,36)} = 3.31, p = 0.031$ ; Tukey,  $p = 0.024$ ). Defeated animals injected with 1200 ng of flesinoxan did not significantly differ from any no defeat control group in submissive/defensive behavior.

We found a main effect of defeat experience on aggressive ( $F_{(1,53)} = 4.65, p = 0.036$ ), social ( $F_{(1,53)} = 14.54, p < 0.001$ ), and nonsocial ( $F_{(1,53)} = 4.85, p = 0.032$ ) behavior displayed at testing (Fig. 3). We did not find a defeat experience  $\times$  dose of flesinoxan interaction for aggressive, social or nonsocial behavior displayed at testing. Additionally, we did not find a drug effect in no defeat control groups for any category of behavior.

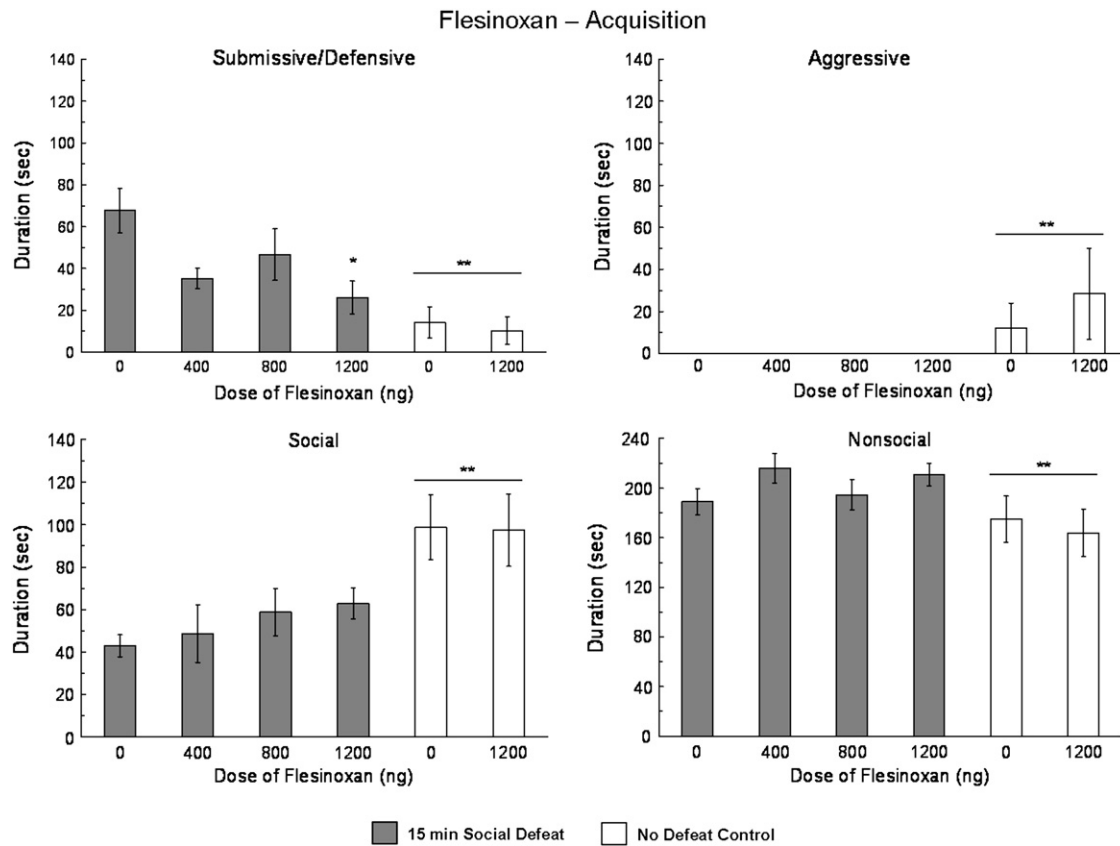
Fifteen animals received injections that were  $>300\ \mu\text{m}$  outside of the BLA and were analyzed as anatomical controls. Flesinoxan infused outside of the BLA prior to social defeat did not appear to reduce submissive/defensive behavior at testing (Vehicle:  $35.26 \pm 26.05, N=4$ ; 400 ng:  $36.03 \pm 22.85, N=2$ ; 800 ng:  $42.55 \pm 8.43, N=3$ ; 1200 ng:  $29.66 \pm 10.05, N=6$ ).

To ensure that the effect of flesinoxan on the acquisition of conditioned defeat was not due to differences in the quality of social defeat, we scored the behavior of the RAs during social defeat. The treatment groups did not significantly differ in any measure (Table 1).





**Fig. 2.** The location of BLA injection sites is shown using illustrations adapted from a hamster stereotaxic atlas (Morin and Wood, 2001). The distances shown for each illustration are relative to bregma. A schematic shows injection sites for a) Experiments 1 and 2 and b) Experiments 3 and 4. Black circles indicate the approximate placement of injection sites within the BLA. Open circles represent injection sites for anatomical controls. Misplaced injections were most often given into the central amygdala, but also occurred in the piriform cortex, caudate putamen, and globus pallidus.



**Fig. 3.** Durations (mean ± SE) of submissive and defensive, aggressive, social and nonsocial behaviors are shown during a 5 min test with a non-aggressive intruder. Social defeat animals (shaded bars) received an injection of flesinoxan or vehicle into the BLA 10 min prior to 15 min social defeat training. No defeat controls (white bars) received an injection of flesinoxan or vehicle into the BLA 10 min prior to exposure to the empty cage of a resident aggressor. Asterisks (\*) indicate treatments that differ from socially defeated vehicle controls. Double asterisks (\*\*) positioned above a horizontal line indicate that defeated individuals differ from no defeat controls.

**Table 1**  
Flesinoxan treatment did not alter social defeat experience (mean  $\pm$  SE).

	Vehicle	400 ng	800 ng	1200 ng	<i>p</i>
Aggression (s)	389.8 $\pm$ 45.8	415.5 $\pm$ 71.1	424.7 $\pm$ 62.9	354.4 $\pm$ 41.2	ns
Latency to attack (s)	76.2 $\pm$ 31.7	99.7 $\pm$ 57.4	79.7 $\pm$ 32.8	64.8 $\pm$ 42.9	ns
Number of attacks	20.4 $\pm$ 3.1	19.2 $\pm$ 2.7	17.4 $\pm$ 1.7	15.1 $\pm$ 2.8	ns

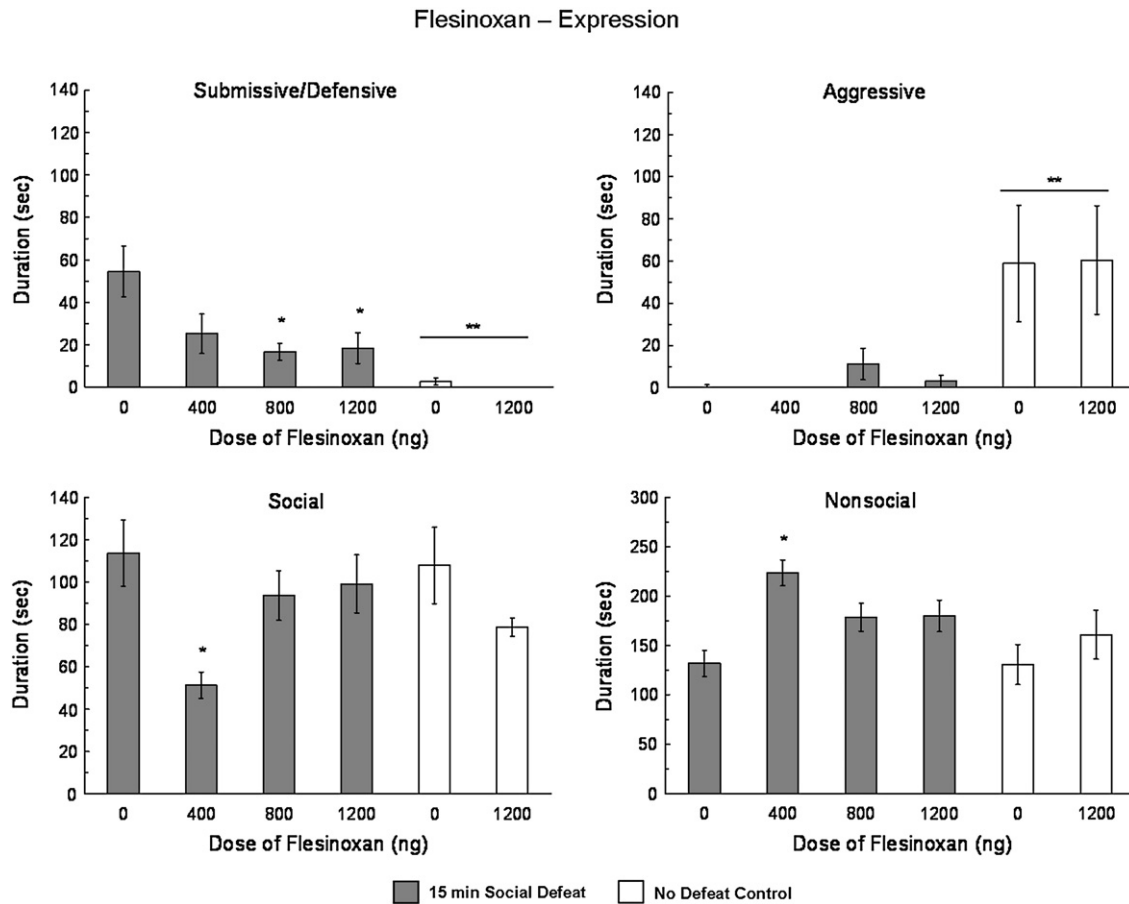
Subjects received injection of flesinoxan (400 ng, 800 ng, or 1200 ng) or vehicle into the basolateral amygdala 10 min prior to social defeat training. ns = not significant.

### 3.2. Experiment 2: 5-HT1A receptor agonist infused into the BLA at expression

The injection of flesinoxan into the BLA prior to behavioral testing reduced the expression of conditioned defeat (Fig. 4). We found a significant main effect of defeat experience ( $F_{(1,53)} = 17.63$ ,  $p < 0.001$ ) and a significant defeat experience  $\times$  dose of flesinoxan interaction ( $F_{(1,53)} = 4.00$ ,  $p = 0.05$ ) on the total duration of submissive/defensive behavior displayed at testing. Specifically, defeated individuals injected with 800 ng and 1200 ng of flesinoxan displayed a lower duration of submissive/defensive behavior at testing when compared to vehicle controls ( $F_{(3,40)} = 3.87$ ,  $p = 0.016$ ; Tukey,  $p = 0.027$  and; Tukey,  $p = 0.031$ , respectively). There was no significant difference in submissive/defensive behavior between defeated individuals injected with 800 ng or 1200 ng of flesinoxan and vehicle or drug-treated no defeat control individuals. Among no defeat controls, there was no effect of flesinoxan on the duration of submissive/defensive behavior at testing. There was a main effect of defeat experience on aggressive behavior at testing

( $F_{(1,54)} = 21.32$ ,  $p < 0.001$ ), indicating that no defeat controls showed significantly more aggressive behavior at testing than did defeated animals (Fig. 4). There was not a significant defeat experience  $\times$  dose of flesinoxan interaction on aggression.

There was no main effect of defeat experience and no interaction of defeat experience  $\times$  dose of flesinoxan on the amount of social behavior displayed at testing, although there was a significant main effect of dose ( $F_{(3,54)} = 5.79$ ,  $p = 0.002$ ). Further analysis indicated there was a significant difference among defeated animals ( $F_{(3,40)} = 5.075$ ,  $p = 0.005$ ), such that injection of 400 ng of flesinoxan resulted in a lower duration of social behavior compared to vehicle controls (Tukey,  $p = 0.004$ ). There was no effect of flesinoxan on the duration of social behavior among no defeat controls. A similar pattern was observed for nonsocial behavior, such that there was no main effect of defeat experience, no defeat experience  $\times$  dose of flesinoxan interaction, and a significant main effect of dose ( $F_{(1,54)} = 5.98$ ,  $p = 0.001$ ). Among defeated animals, injection of 400 ng of flesinoxan into the BLA resulted in a greater duration of nonsocial behavior when compared to vehicle animals ( $F_{(3,40)} =$



**Fig. 4.** Durations (mean  $\pm$  SE) of submissive and defensive, aggressive, social and nonsocial behaviors are shown during a 5 min test with a non-aggressive intruder. Animals that experienced a 15 min social defeat (shaded bars) and no defeat controls (white bars) received an injection of flesinoxan or vehicle into the BLA 10 min prior to behavioral testing. Asterisks (\*) indicate treatments that differ from socially defeated vehicle controls. Double asterisks (\*\*) positioned above a horizontal line indicate that defeated individuals differ from no defeat controls.

7.29,  $p=0.001$ ; Tukey,  $p<0.001$ ). There was no effect of flesinoxan dose on the duration of nonsocial behavior in no defeat controls. Although 400 ng of flesinoxan disrupted the balance of social and nonsocial behavior, the elevated nonsocial behavior was not due to hyperlocomotion and likely did not contribute to impairment in conditioned defeat because higher doses of flesinoxan did not produce similar disruption.

Ten animals received injections that were more than 300  $\mu\text{m}$  outside of the BLA and were analyzed as anatomical controls. Flesinoxan infused outside of the BLA prior to behavioral testing did not appear to reduce submissive/defensive behavior at testing (Vehicle:  $79.1 \pm 0.0$ ,  $N=1$ ; 400 ng:  $21.5 \pm 0.0$ ,  $N=1$ ; 800 ng:  $31.25 \pm 14.43$ ,  $N=4$ ; 1200 ng:  $79.84 \pm 31.49$ ,  $N=4$ ). To increase the sample size of our anatomical control groups, we combined the vehicle, 800 ng and 1200 ng groups from experiments 1 and 2. Analysis of the combined groups suggests that flesinoxan infused outside of the BLA either prior to social defeat or to behavioral testing did not significantly reduce submissive/defensive behavior at testing ( $p>0.05$ ; Vehicle:  $44.03 \pm 22.01$ ,  $N=5$ ; 800 ng:  $36.1 \pm 8.66$ ,  $N=7$ ; 1200 ng:  $53.1 \pm 16.65$ ,  $N=9$ ).

### 3.3. Experiment 3: 5-HT1A receptor antagonist infused into the BLA at acquisition

Injecting WAY-100635 into the BLA prior to social defeat did not increase the acquisition of conditioned defeat (Fig. 5). We found a significant main effect of defeat experience on submissive/defensive behavior ( $F_{(1,48)} = 13.80$ ,  $p=0.001$ ), indicating that defeated animals showed significantly more submissive/defensive behavior at testing

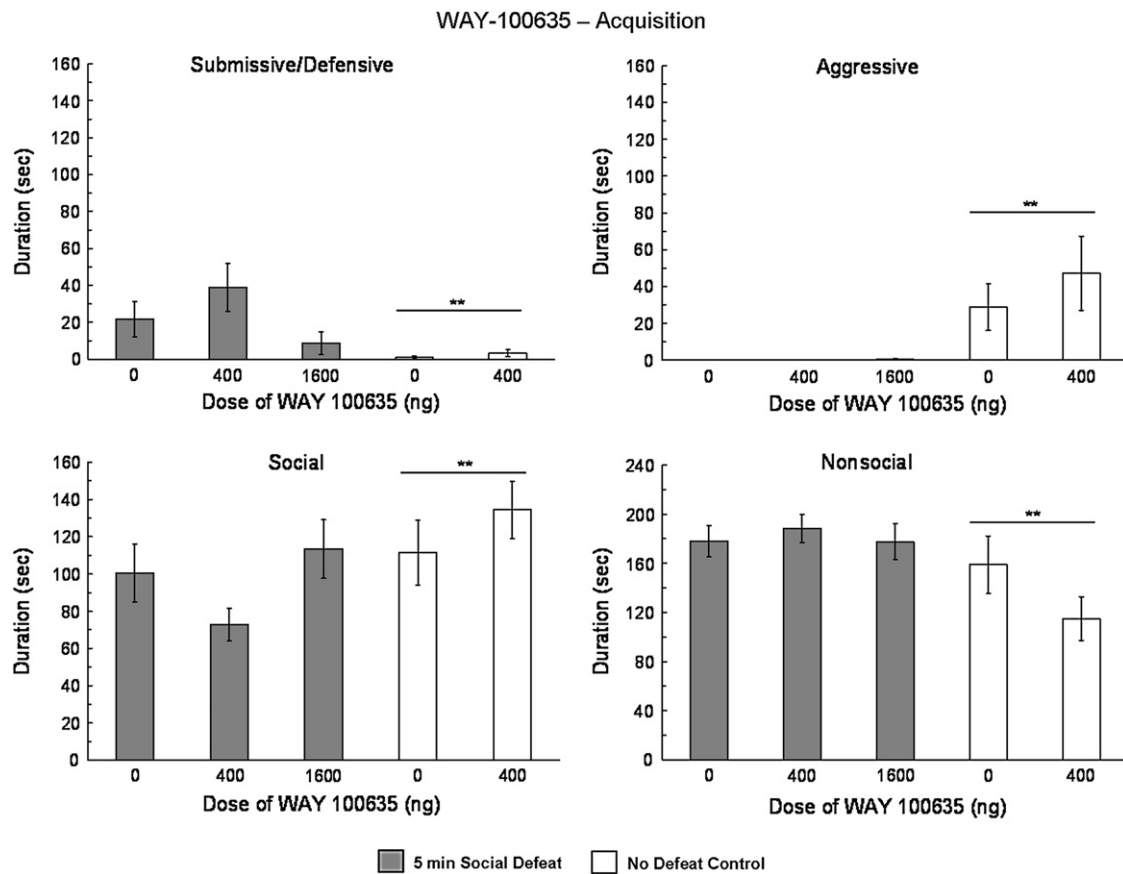
than did no defeat controls (Fig. 5). We found a main effect of defeat experience on aggressive ( $F_{(1,48)} = 10.64$ ,  $p=0.002$ ), social ( $F_{(1,48)} = 5.81$ ,  $p=0.02$ ), and nonsocial ( $F_{(1,48)} = 7.57$ ,  $p=0.008$ ) behavior displayed at testing (Fig. 5). No other significant differences were found.

### 3.4. Experiment 4: 5-HT1A receptor antagonist infused into the BLA at expression

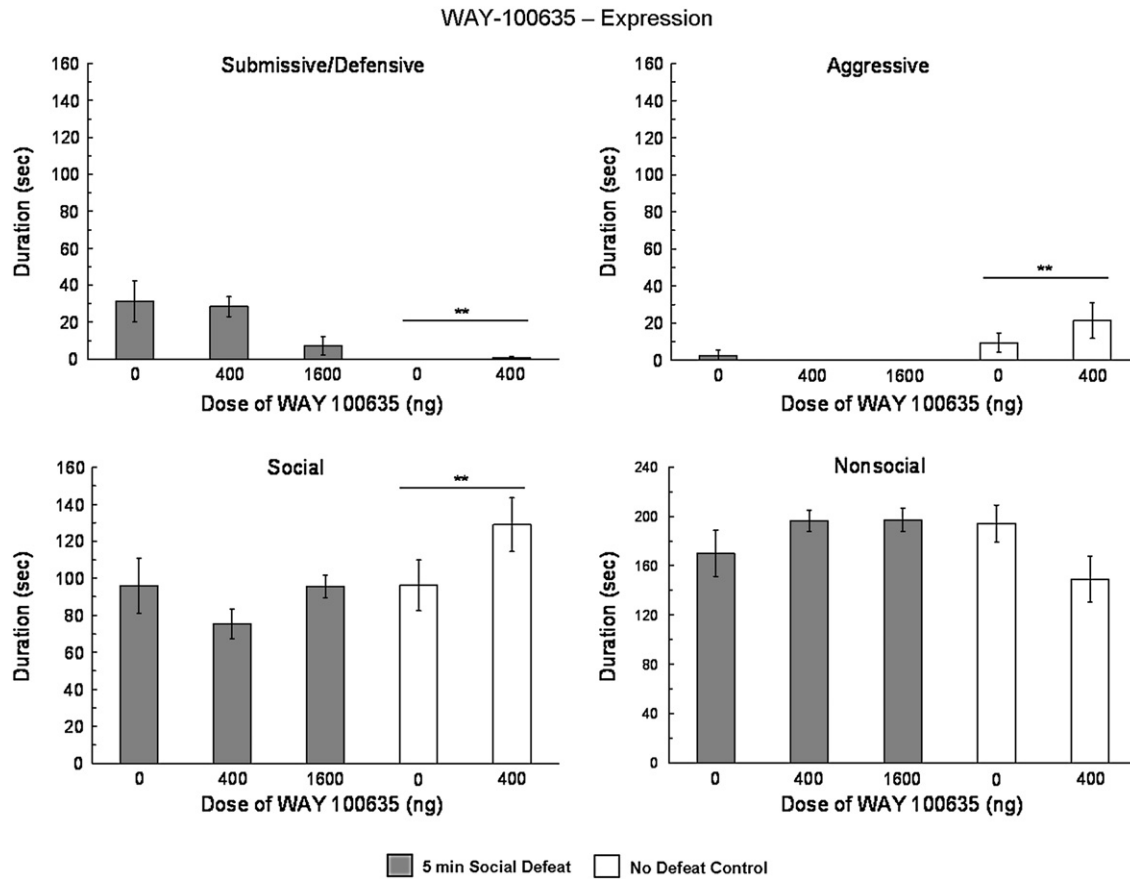
The injection of WAY-100635 into the BLA prior to behavioral testing did not increase the expression of conditioned defeat (Fig. 6). There was a significant main effect of defeat experience on submissive/defensive behavior ( $F_{(1,49)} = 29.30$ ,  $p<0.001$ ), such that socially defeated animals displayed more submissive/defensive behavior than did no defeat controls (Fig. 6). We found a main effect of defeat experience on aggressive ( $F_{(1,49)} = 7.16$ ,  $p=0.01$ ) and social ( $F_{(1,49)} = 4.72$ ,  $p=0.035$ ) behavior displayed at testing (Fig. 6). No other significant differences were found.

## 4. Discussion

We have shown that injection of the 5-HT1A receptor agonist flesinoxan into the BLA decreases both the acquisition and expression of conditioned defeat. These results suggest that pharmacological activation of 5-HT1A receptors in the BLA prior to social defeat training is sufficient to impair the formation of conditioned defeat, and that their activation prior to testing is sufficient to disrupt the production of submissive and defensive behavior. The effects of flesinoxan injection appear to be specific to the BLA, as injections of flesinoxan outside the BLA had no effect. Additionally, flesinoxan treatment did



**Fig. 5.** Durations (mean  $\pm$  SE) of submissive and defensive, aggressive, social and nonsocial behaviors are shown during a 5 min test with a non-aggressive intruder. Social defeat animals (shaded bars) received an injection of WAY-100635 or vehicle into the BLA 10 min prior to 5 min social defeat training. No defeat controls (white bars) received an injection of WAY-100635 or vehicle into the BLA 10 min prior to exposure to the empty cage of a resident aggressor. Double asterisks (\*\*) positioned above a horizontal line indicate that defeated individuals differ from no defeat controls.



**Fig. 6.** Durations (mean  $\pm$  SE) of submissive and defensive, aggressive, social and nonsocial behaviors are shown during a 5 min test with a non-aggressive intruder. Animals that experienced a 5 min social defeat (shaded bars) and no defeat controls (white bars) received an injection of WAY-100635 or vehicle into the BLA 10 min prior to behavioral testing. Double asterisks (\*\*) positioned above a horizontal line indicate that defeated individuals differ from no defeat controls.

not alter the behavior of no defeat control animals, which indicates that activation of BLA 5-HT<sub>1A</sub> receptors specifically modulates defeat-induced behavioral changes. We found that activation of BLA 5-HT<sub>1A</sub> receptors modulates defeat-induced changes in the duration of submissive and defensive, but not aggressive, behavior. These results are consistent with previous research showing that changes in submissive and defensive, but not aggressive, behavior are controlled by fear and anxiety-related neural circuitry in the amygdala (Jasnow et al., 2004; Jasnow et al., 2005; Markham and Huhman, 2008). We also found that injection of the 5-HT<sub>1A</sub> receptor antagonist WAY-100635 into the BLA did not alter the acquisition or expression of conditioned defeat. Together our results suggest that activation of BLA 5-HT<sub>1A</sub> receptors disrupts the acquisition and expression of conditioned defeat whereas blockade of BLA 5-HT<sub>1A</sub> receptors does not alter conditioned defeat.

There has been great interest in the role of 5-HT<sub>1A</sub> receptors in the expression of depression- and anxiety-related behavior. Overall, increased neural signaling at 5-HT<sub>1A</sub> receptors is associated with reduced anxiety. For example, 5-HT<sub>1A</sub> receptor partial agonists such as buspirone are used clinically for their anxiolytic action (Hindmarch et al., 1992; Traber and Glaser, 1987). Neuroimaging studies have shown that individuals with lower 5-HT<sub>1A</sub> binding are more likely to display clinical levels of anxiety and have increased basal cortisol levels (Lanzenberger et al., 2007; Lanzenberger et al., 2010; Neumeister et al., 2004; Rabiner et al., 2002; Tauscher et al., 2001). In animal studies, 5-HT<sub>1A</sub> knockout mice display significantly higher levels of anxiety-like behavior compared to control animals (Akimova et al., 2009; Lesch, 2001; Ramboz et al., 1998). Additionally, transgenic mice that overexpress the 5-HT<sub>1A</sub> receptor show decreased anxiety-like behavior when compared with wild type mice (Kusserow et al., 2004).

The anxiolytic effect of systemic 5-HT<sub>1A</sub> receptor treatments may be mediated by somatodendritic autoreceptors in the DRN or postsynaptic receptors in the forebrain. At least part of the anxiolytic action of 5-HT<sub>1A</sub> receptor activation is mediated by inhibitory postsynaptic receptors in several forebrain regions (Kia et al., 1996; Pazos and Palacios, 1985). For example, pharmacological activation of 5-HT<sub>1A</sub> receptors in the hippocampus reduces fear and anxiety-like behavior in several paradigms, including fear conditioning (Li et al., 2006; Stiedl et al., 2000), elevated plus maze (Zhang et al., 2010), and novelty suppressed feeding (Zhang et al., 2010). The BLA is another important site where 5-HT<sub>1A</sub> receptor activation can modulate fear-related and anxiety-like behavior. Injection of a selective 5-HT<sub>1A</sub> receptor agonist into the BLA reduces fear conditioning (Li et al., 2006), fear-potentiated startle (Groenink et al., 2000), and inhibitory avoidance in the elevated T-maze (Zangrossi et al., 1999). These results are consistent with our current findings and together suggest that pharmacological activation of BLA 5-HT<sub>1A</sub> receptors attenuates fear and anxiety when responses are conditioned. In contrast, pharmacological activation of BLA 5-HT<sub>1A</sub> receptors increases fear and anxiety when responses are unconditioned, such as in social interaction tests (Gonzalez et al., 1996) and for escape behavior in the elevated T-maze (Zangrossi et al., 1999). It might be that conditioned and unconditioned emotional responses are differentially affected by activation of BLA 5-HT<sub>1A</sub> receptors.

5-HT<sub>1A</sub> receptor antagonists such as WAY-100635 have been used to block the behavioral effects of 5-HT<sub>1A</sub> receptor activation (File et al., 1996; Gonzalez et al., 1996). Also, some researchers have used WAY-100635 for its ability to act as a silent antagonist, indicating that there was no expected effect of WAY-100635 when administered alone (File et al., 1996; Stiedl et al., 2000). Blocking 5-HT<sub>1A</sub>



receptors by themselves has produced inconsistent effects on anxiety-related behavior and passive avoidance. Injection of WAY-100635 into the dorsal periaqueductal gray failed to alter fear conditioning, although injection of the 5-HT<sub>1A</sub> receptor agonist 8-OH-DPAT decreased it (Broiz et al., 2008). In contrast, injection of WAY-100635 into the ventral hippocampus was shown to reduce anxiety-like behavior in the elevated plus maze (Nunes-de-Souza et al., 2002), and systemic administration of WAY-100635 has been shown to enhance passive avoidance learning (Madjid et al., 2006). Site-specific injections of WAY-100635 are often given at much higher doses (3000 ng or greater) than those used in our study (400–1600 ng). We selected doses of WAY-100635 based on our previous research, and the work of others, showing behavioral effects of WAY-100635 when injected into the DRN (Cooper et al., 2008; Pobbe and Zangrossi, 2005). It is possible that higher doses of WAY-100635 are required to fully block the post-synaptic 5-HT<sub>1A</sub> receptors that occur outside of the DRN. In sum, our findings with WAY-100635 suggest the serotonergic activity at BLA 5-HT<sub>1A</sub> receptors is not necessary for the acquisition and expression of conditioned defeat, because conditioned defeat occurs normally without it.

Although 5-HT<sub>1A</sub> receptors modulate the expression of anxiety-like behavior following stressful events (Youn et al., 2009), much less is known about the role of BLA 5-HT<sub>1A</sub> receptors in the acquisition of aversive memories, including conditioned fear. Although the BLA is a critical brain region controlling cued fear conditioning, data on 5-HT<sub>1A</sub> receptor modulation of fear conditioning are limited to the hippocampus. Stiedl et al. (2000) found that bilateral intrahippocampal injection of 8-OH-DPAT prior to the training phase of fear conditioning resulted in decreased freezing to both context and cue 24 h later. Pretreatment with both subcutaneous and intrahippocampal WAY-100635 completely reversed the effect on contextual freezing but only partially reversed cued freezing (Stiedl et al., 2000). In a separate study, 8-OH-DPAT given peripherally prior to training in a passive avoidance paradigm resulted in decreased retention of avoidance (Misane et al., 1998). These studies indicate that activation of forebrain 5-HT<sub>1A</sub> receptors impairs the formation of conditioned fear. Our results suggest that activation of 5-HT<sub>1A</sub> receptors in the BLA impairs the acquisition of stress-related changes in behavior. Our results are consistent with previous studies that indicate that the BLA is the primary site of neural plasticity controlling the formation of conditioned defeat (Day et al., 2011; Jasnow et al., 2005; Markham et al., 2010). Specifically, NMDA receptors in the BLA are a critical component of the neurochemical signals controlling the formation of conditioned defeat (Day et al., 2011; Jasnow et al., 2004). Others have suggested that 5-HT<sub>1A</sub> receptors interact with glutamatergic and cholinergic systems in the frontal cortex and hippocampus to alter learning and memory processes (Kehr et al., 2010; Madjid et al., 2006; Ogren et al., 2008). Our results suggest that the conditioned defeat model may provide a valuable approach for investigating 5-HT<sub>1A</sub> receptor modulation of neural processes in the BLA that underlie memories for aversive events. One interesting possibility is that 5-HT<sub>1A</sub> receptor activation impairs the formation of conditioned defeat by modulating NMDA receptor-dependent mechanisms in the BLA. In sum, activation of 5-HT<sub>1A</sub> receptors in the hippocampus appears to disrupt the formation of conditioned fear, whereas 5-HT<sub>1A</sub> receptors in the BLA appear more critical for conditioned defeat.

In conclusion, we have found that injection of flesinoxan into the BLA reduced both the acquisition and expression of conditioned defeat. These results indicate that the formation of conditioned defeat and the display of submissive and defensive behavior at testing can be reduced by activation of BLA 5-HT<sub>1A</sub> receptors. This finding extends our previous research on the role of 5-HT in conditioned defeat. We have shown previously that flesinoxan injection in the DRN blocks both the acquisition and expression of conditioned defeat, and injection of WAY-100635 enhances both acquisition and expression (Cooper et al., 2008). 5-HT<sub>1A</sub> receptors in the DRN are autoreceptors, and their activation has been shown to decrease the release

of 5-HT in DRN projection regions (Sharp et al., 1989). From our DRN study, we concluded that 5-HT release in DRN projection regions enhances the formation and display of conditioned defeat behavior. The current work expands upon the DRN findings by beginning to explore the mechanisms of 5-HT action in the BLA, a key neural structure underlying the plasticity and behavioral output associated with conditioned defeat (Day et al., 2011). Although we found that activation of BLA 5-HT<sub>1A</sub> receptors reduces the acquisition and expression of conditioned defeat, we also found that blockade of BLA 5-HT<sub>1A</sub> receptors has no effect on conditioned defeat. These results suggest that activation of BLA 5-HT<sub>1A</sub> receptors is sufficient to impair conditioned defeat, although there appears to be a limited role for endogenous 5-HT activity at BLA 5-HT<sub>1A</sub> receptors. We expect that endogenous 5-HT may act at other 5-HT receptors, such as 5-HT<sub>2</sub> receptors, to enhance the acquisition and expression of conditioned defeat. Others have shown that activation of 5-HT<sub>2</sub> receptors facilitates eyeblink conditioning (Harvey, 2003), the expression of learned helplessness (Strong et al., 2009), and anxiety in an open field test (Campbell and Merchant, 2003). Also, recent evidence suggests that serotonergic modulation of the BLA pyramidal neurons is largely controlled by 5-HT<sub>2A</sub> receptor activity (Jiang et al., 2009). Future work will need to address the mechanisms by which 5-HT can act at multiple receptors, and perhaps in multiple brain regions, to modulate the acquisition and expression of conditioned defeat.

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